

MAZZONE & ASSOCIATES, INC.

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We at Mazzone & Associates, Inc. (MAI) respect the confidentiality of our clients' non-public, personal information. It is our intention to ensure that this information is safeguarded to protect against its misuse. To that end, we are providing you with another copy of our current Privacy Policy to remind you of our concern for and our efforts related to the protection of, your personal, non-public information.

MAI's PRIVACY POLICY

All information given to MAI and all recommendations and advice furnished by MAI to its clients will be kept confidential and will not be disclosed to anyone, except as MAI may so agree in writing or as may be required to do so by law.

MAI will collect non-public, personal information (NPI) about its clients as part of this engagement. This information will be obtained directly from the client and includes details such as the client's date of birth, social security number, financial account numbers and balances, tax returns, sources and amounts of income, home addresses and telephone numbers.

As part of MAI's policy, MAI restricts access to confidential personal information about its clients to those MAI employees who need to know that information in order to provide products or services to its clients. MAI maintains physical, electronic, and procedural safeguards to comply with federal standards to guard its clients' confidential personal information.

Use of nonpublic information of former customers. The firm will provide nonpublic information about former customers only if required to do so by law or regulation or to those parties who need such information in order for the firm to carry out any continued obligation with respect to the services covered by the former adviser/customer relationship.

Should a client agree to accept financial advisory services provided by MAI, MAI may share the client's NPI with non-affiliated third parties. MAI may maintain agreements with various affiliated or non-affiliated entities who may act as the custodian and account holder for customers of MAI and securities may be offered directly through those other broker-dealers properly engaged by MAI. These third-party institutions may require agreements to establish a MAI client's account with them. MAI will share only the appropriate customer NPI needed to facilitate these account agreements on behalf of its clients.

Should you prefer, MAI will not disclose confidential personal information about you to non-affiliated third parties. You may opt out of such disclosures; that is, you may direct MAI not to make those disclosures (other than disclosures required or permitted by law). Should you wish to opt out of disclosures to non-affiliated third parties, please contact us at the following number: (404) 931-8545.

Enclosed is a self-addressed, postage paid (pre-paid postage is required ONLY if the firm doesn't offer two free other ways to respond; i.e. toll free # and web site) envelope to allow you to respond to this notice.